

## Non Compliance Chargeback Schedule Domestic SMART Guide Violation List

The following penalties will be assessed per infraction per Purchase Order shipped. In the event that a chargeback issued against your company, please contact our Vendor Compliance Department via email at [vendcomp@academy.com](mailto:vendcomp@academy.com) to discuss plans for improvement. Penalties may be subject to reduction or reimbursement (except freight-related penalties, labor charges and repeat offenses) if communicated to our office a corrective action plan within 30 days of assessment.

Non-Compliance Issue	NON-Compliance Cost USD
<b>Transportation</b>	
Failure to use OTM for Collect PO's	\$500
Failure to release POs 72 hours (3 business days) prior to early pick-up date in OTM	\$500
Failure to consolidate Collect POs routed in OTM as LTL	\$500
FedEX Account used for collect POs without OTM authorization	\$1,000 or shipment refusal if PO cost $\geq$ \$10,000 \$200 if PO cost $<$ \$10,000
POs released in OTM with early pick-up dates from same shipping location on consecutive days	\$1,000 or shipment refusal if PO cost $\geq$ \$10,000 \$200 if PO cost $<$ \$10,000 <b>Effective on 7/30/23</b>
Shipping by non-designated freight carrier	\$500 + Freight Difference
Truck order not used	\$50 + Carrier incurred charges
Merchandise shipped to an incorrect location	\$1,000
Detention charges / Failure of shipper load and count freight	\$50 + Carrier incurred charges
Prepaid freight that is sent Collect	\$250 + Freight difference
Failure to palletize Collect POs routed in OTM as LTL	\$500
Failure to palletize Collect PO routed in OTM as truckload and intermodal	\$500
Unacceptable pallet quality (not sized properly, pallet condition)	\$25 per pallet (\$500 max)
Total weight of a loaded pallet in excess of 2,000 lbs	\$500
<b>Shipment Timing</b>	
Collect Domestic – PO shipped before the “Not Before” date or after the “Not After” date indicated on purchase order	\$1,000 or shipment refusal if PO cost $\geq$ \$10,000 \$200 if PO cost $<$ \$10,000
Prepaid Domestic – PO arrives before the “Not Before” date or after the “Not After” date indicated on the purchase order.	\$1,000 or shipment refusal if PO cost $\geq$ \$10,000 \$200 if PO cost $<$ \$10,000
<b>Bills of Lading</b>	
Failure to separate or consolidate Bill of Lading	\$500 + Freight Difference
No National Motor Freight Classification number	\$250
Incorrect / Missing shipper information	\$250
Incorrect / Missing weight information	\$250
Incorrect / Missing PO information, i.e. List of PO's included in shipment, total cartons per PO	\$250
Special handling requirements not noted for hazardous or serialized items	\$250
<b>Packing List / ASN</b>	
Firearms - Missing packing list or serial numbers, or serial numbers of merchandise shipped don't match packing list	\$250
Missing / Late ASN - Bulk POs	\$1000 if PO cost $\geq$ \$10,000 \$200 if PO cost $<$ \$10,000
Missing / Late ASN - PBS or prepack PO	\$1000 + \$10/ctn if PO cost $\geq$ \$10,000 \$200 + \$10/ctn if PO cost $<$ \$10,000
Incorrect / Missing information on ASN	\$1000 if PO cost $\geq$ \$10,000, \$200 if PO cost $<$ \$10,000
Prepack - Prepack UPCs sent on ASN don't match PO	\$1000 + \$10/ctn if PO cost $\geq$ \$10,000 \$200 + \$10/ctn if PO cost $<$ \$10,000 <b>Effective on 7/30/23</b>
Prepack - Component UPCs sent on ASN don't match PO	\$1000 + \$10/ctn if PO cost $\geq$ \$10,000 \$200 + \$10/ctn if PO cost $<$ \$10,000 <b>Effective on 7/30/23</b>
Prepack - Component quantities (ratios) sent on ASN don't match PO	\$1000 + \$10/ctn if PO cost $\geq$ \$10,000 \$200 + \$10/ctn if PO cost $<$ \$10,000 <b>Effective on 7/30/23</b>

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Product Packaging and Labeling	
Incorrect / Missing UPC number for each unique, sellable item shipped	\$1,000
Apparel shipped without size strip	\$250 + Labor Charges
Apparel shipped with non-approved hangers	\$1,000 + Labor & Material Cost
Apparel designated to be hung, but shipped without hangers & crown sizes	\$500 per 1,000 units + Labor & Material Cost
Merchandise ordered by size but shipped in pre-pack units or vice-versa	\$1,000 + Labor Charges
Pre-Ticketing (If Applicable)	
Missing Tickets	\$250 + Labor Charges (\$.20/unit)
Missing / incorrect retail price on ticket	\$250 + Labor Charges (\$.20/unit)
Missing / Incorrect UPC on ticket	\$250 + Labor Charges (\$.20/unit)
Unscannable UPC on ticket	\$250 + Labor Charges (\$.20/unit)
Other incorrect ticket info (mdse size, color, description, placement)	\$250 + Labor Charges (\$.20/unit)
Carton Packaging, Labeling, and Pack-By-Store	
Carton contents not matching ASN detail	\$1000 if PO cost = / > \$10,000 \$200 if PO cost < \$10,000
Mixed SKUs or POs within a carton (bulk POs)	500 + \$10 per carton if error involves 11 cartons or more.
Prepack component UPCs shipped don't match ASN or PO	\$1000 + \$10/ctn if PO cost = / > \$10,000 \$200 + \$10/ctn if PO cost < \$10,000 <b>Effective on 7/30/23</b>
Prepack component quantities (ratios) shipped don't match ASN or PO	\$1000 + \$10/ctn if PO cost = / > \$10,000 \$200 + \$10/ctn if PO cost < \$10,000 <b>Effective on 7/30/23</b>
Incorrect / Missing information or store number on the GS1-128 labels	\$1000 if PO cost = / > \$10,000 \$200 if PO cost < \$10,000
Missing / Unscannable GS1-128 carton label	\$1000 if PO cost = / > \$10,000 \$200 if PO cost < \$10,000
Handling requirements not noted on carton for hazardous or serialized items	\$100
Merchandise Shipment Errors	
Merchandise shipped in excess of quantity ordered	\$250
Unauthorized substitutions / merchandise shipped though not ordered on PO	\$250 + Reduced COGS
Defective merchandise / quality of merchandise	\$1,000 + Labor Charges
EDI	
Error with EDI 856 or 810 Transmissions	\$500